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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY

PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Application Number

PTO

First Inventor

Jenny LOUIE-HELM et al.

10/25/01

Title

Formulation of an Erodible
Gastric Retentive Oral Dosage
Form Using In Vitro
Disintegration Test Data

10/25/01

Attorney Docket Number

3100-0003

10/25/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 55]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) [35 U.S.C. 113] [Total Sheets 5]
5. Oath or Declaration [Total Pages 3]
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document (*if applicable*)
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Other: Blanket Petition

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____
Prior application information: Examiner: _____ Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

| | | | | | |
|---|--|---|----------------|---|----------------|
| <input checked="" type="checkbox"/> Customer Number of Bar Code Label | | 23980 <i>(Insert Customer No. or Attach bar code label here)</i> | | <input type="checkbox"/> Correspondence address below | |
| Name | Dianne E. Reed | | | | |
| Address | REED & ASSOCIATES 800 Menlo Avenue, Suite 210 | | | | |
| City | Menlo Park | State | CA | Zip Code | 94025 |
| Country | USA | Telephone | (650) 330-0900 | Fax | (650) 330-0980 |
| Name (Print/Type) | Dianne E. Reed | Registration No. (Attorney/Agent) | | 31,292 | |
| Signature | <i>Dianne E. Reed</i> | | Date | October 25, 2001 | |

Estimated Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, Box Patent Application, Washington, DC 20231.

CERTIFICATE OF MAILING OR TRANSMISSION

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| I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to "Commissioner for Patents, Box Patent Application, Washington, DC 20231." | | | |
| "Express Mail" Mailing Label No. | EL910311090US | Date of Deposit | October 25, 2001 |
| Name of Person Mailing Paper or Fee(Print/Type) | Sam Pen | | |
| Signature | <i>Sam Pen</i> | Date | October 25, 2001 |

"Express Mail" Mailing Label No.: EL910311090US

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Signature of Person Mailing Paper or Fee: [Signature]

| | | | | Complete if Known | | | | | |
|---|--|-----------------------|--|------------------------------------|------------------|-----------------------------------|-----------------|--|---------|
| FEE TRANSMITTAL | | | | | | | | | |
| <i>Note: Effective October 1, 1997. Patent fees are subject to annual revision.</i> | | | | | | | | | |
| TOTAL AMOUNT OF PAYMENT | | \$626 | | Attorney Docket Number | | 3100-0003 | | | |
| METHOD OF PAYMENT (check one) | | | | FEE CALCULATION (continued) | | | | | |
| 1. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge any additional fees and credit any overpayments to: Deposit Account No.: 18-0580 Deposit Account Name: REED & ASSOCIATES | | | | 3. ADDITIONAL FEES | | | | | |
| <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance | | | | Larg | Entit | Small | Entity | | |
| | | | | Fee | Fee | Fee | Fee | | |
| | | | | Code | Code | Code | (\$) | | |
| | | | | 105 | 130 | 205 | 65 | Fee Description | Fee Due |
| | | | | | | | | Surcharge - late filing fee or oath | |
| | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| | | | | 139 | 130 | 139 | 130 | Non-English specification | |
| | | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| | | | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| | | | | 113 | 1,840 | 113 | 1,840 | Requesting publication of SIR after Examiner action | |
| | | | | | * | * | | Extension for reply within first month | |
| | | | | 115 | 110 | 215 | 55 | Extension for reply within second month | |
| | | | | 116 | 400 | 216 | 200 | Extension for reply within third month | |
| | | | | 117 | 920 | 217 | 460 | Extension for reply within fourth month | |
| | | | | 118 | 1,440 | 218 | 720 | Extension for reply within fifth month | |
| | | | | 128 | 1,960 | 228 | 980 | Notice of Appeal | |
| | | | | 119 | 320 | 219 | 160 | Filing a brief in support of an appeal | |
| | | | | 120 | 320 | 220 | 160 | Request for oral hearing | |
| | | | | 121 | 280 | 221 | 140 | Petition to institute a public use proceeding | |
| | | | | 138 | 1,510 | 138 | 1,510 | Petition to revive - unavoidable | |
| | | | | 140 | 110 | 240 | 55 | Petition to revive - unintentional | |
| | | | | 141 | 1,280 | 241 | 640 | Utility issue fee (or reissue) | |
| | | | | 142 | 1,280 | 242 | 640 | Design issue fee | |
| | | | | 143 | 460 | 243 | 230 | Plant issue fee | |
| | | | | 144 | 620 | 244 | 310 | Petitions to the Commissioner | |
| | | | | 122 | 130 | 122 | 130 | Petitions related to provisional applications | |
| | | | | 123 | 50 | 123 | 50 | Submissions of Information Disclosure Stmt | |
| | | | | 126 | 240 | 126 | 180 | Recording each patent assignment per property (times number of properties) | \$40 |
| | | | | 581 | 40 | 581 | 40 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| | | | | 146 | 740 | 246 | 370 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| | | | | 149 | 740 | 249 | 370 | Other fee (specify) | |
| | | | | | | | | Other fee (specify) | |
| | | | | SUBTOTAL (2) | \$216 | *Reduced by Basic Filing Fee Paid | | | |
| | | | | | | SUBTOTAL (3) \$40 | | | |
| SUBMITTED BY | | | | | | Complete (if applicable) | | | |
| Typed or Printed Name | | <u>Dianne E. Reed</u> | | | | Reg. Number | | 31,292 | |
| Signature | | <u>Dianne E. Reed</u> | | Date | October 25, 2001 | | Deposit Account | 18-0580 | |